



PATENT  
Attorney Docket No.: SCI-00100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3739  
James L. Hobart *et al.* ) Examiner: Shay, D.  
Serial No.: 09/018,104 )  
Filed: February 3, 1998 )  
For: **DUAL MODE LASER DELIVERY** )  
**SYSTEM PROVIDING** )  
**CONTROLLABLE DEPTH OF** )  
**TISSUE ABLATION AND** )  
**CORRESPONDING** )  
**CONTROLLABLE DEPTH OF** )  
**COAGULATION** )  
TRANSMITTAL LETTER  
260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650) 833-0160

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449, including copies of the references contained thereon, and a Certification for Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(e), for filing with the U.S. Patent and Trademark Office.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 3, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP  
Date: 9/3/01 By: Conrad S. O'Dell

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3739  
James L. Hobart *et al.* )  
Serial No.: 09/018,014 ) **CERTIFICATION UNDER 37 C.F.R.**  
Filed: February 3, 1998 ) **§1.97(e) for SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
For: **DUAL MODE LASER DELIVERY SYSTEM PROVIDING CONTROLLABLE DEPTH OF TISSUE ABLATION AND CORRESPONDING CONTROLLABLE DEPTH OF COAGULATION** )  
) 260 Sheridan Avenue, Suite 420  
)) Palo Alto, CA 94306  
)) (650) 833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within Official Actions mailed on July 25, 2001. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

Date: 8/30/01 By Cathleen O'Brien

HAVERSTOCK & OWENS LLP.

**PATENT**  
Attorney Docket No.: SCI-00100

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 3, 2001

By:   
Jonathan O. Owens  
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**TISSUE ABLATION AND** )  
**CORRESPONDING** )  
**CONTROLLABLE DEPTH OF** )  
**COAGULATION** )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,046,184; and
- U.S. Patent No. 6,162,213.

CERTIFICATE OF MAILING (37 CFR § 1.8(a),

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.  
Date 8/3/01 By CD Br

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 3, 2001 By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants